cket No. 00927/LH

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Masashi SUGANO et al.

Serial No. : 09/756,036

Filed

: January 5, 2001

For

: IMAGE FORMING APPARATUS AND

IMAGE READING DEVICE FOR

USE IN THE APPARATUS

Art Unit

Examiner

Express Mail Mailing Label No.: EV 142 052 236 US Date of Deposit: September 4, 2002

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by check attached hereto, authorization to charge the extension fee, or any other fee required in connection with this Paper, to Account No. 06-1378.

INFORMATION DISCLOSURE STATEMENT WITH STATEMENTS UNDER 37 CFR 1.97(e) AND 1.704(d)

Commissioner for Patents Washington, D.C. 20231

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SIR:

Submitted herewith are:

- (1) Copy of a communication (Search Report) issued from a foreign patent office in a counterpart European application;
- Copies of the cited publications; and (2)
- Forms PTO/SB/08A and PTO/SB/08B. It is requested that (3)initialed copies of Forms PTO/SB/08A and PTO/SB/08B be returned to indicate that the publications listed therein have been considered and made of record.

All of the cited publications are in the English language.

Each of the cited publications is considered relevant or material to the patentability of the present invention in view of the citation thereof in said communication.

Said communication is in English, thereby satisfying the requirements for a concise explanation of relevance for any non-English language documents cited therein (MPEP 609 III A(3)).

STATEMENT UNDER 37 CFR 1.97(e)(1)

Each item of information contained in this Information

Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the present

Information Disclosure Statement. Said Communication bears a mailing date of August 21, 2002. Therefore, the filing of this Information Disclosure Statement is timely under the provisions of 37 CFR 1.97(e).

STATEMENT UNDER 37 CFR 1.704(d)

Each item of information contained in this Information

Disclosure Statement was cited in said communication from a

foreign patent office in a counterpart application, and this

communication was not received by any individual designated in

\$1.56(c) more than thirty days prior to the filing of the present

Information Disclosure Statement.

It is noted that EP 0 739 089 corresponds to U.S. Patent Nos. 5,631,920; 5,872,807; and 5,867,524 (see the listing of

family members in the European Search Report). However, since EP 0 739 089 is in the English language, it is not necessary to provide copies of its counterpart U.S. patents.

It is respectfully requested that the attached publications be considered and made of record.

Respectfully submitted,

Leonard Holtz Reg. No. 22,97

Frishauf, Holtz, Goodman& Chick, P.C. 767 Third Avenue - 25th Floor New York, New York 10017-2023 Tel. No. (212) 319-4900 Fax No. (212) 319-5101 LH:yu

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Filing Date First Named Inventor Group Art Unit							
								Masashi SUGANO				
					Examiner Name							
Sheet 1 of 2					Attorney Docket Number			00927/LH				
			_	U	S. PATE	ENT DOCUMENTS						
Exam. Inits*	Cite No ¹ Document Number				Kind Code²	Name of Patentee or Applicant		Publication Date MM-DD-YYYY	Relevant Portion			
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		5,781	,074			Levante et al.		07/14/1998				
		5,933	,587			HONMA et al.		08/03/1999				
		6,014	,063			LIU et al.	R	ecewed				
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7				FOF	EIGN PA	TENT DOCUMENTS						
Exam Inits'	Cite No¹	Offc ³ Document Number ⁴			Kind Code⁵	Name of Patentee Applicant	or	Publication Date MM-DD-YYYY	Relevant Portion	T^6		
		EP	0 739	089	A2	Keith B. HARDIN		10/23/1996				
Exami	ner					Date						

Signature * EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Considered

September 4, 2002 DATE MAILED:

Unique citation designation number. ² See kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Place a check here if English translation is attached.

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Substitu	2	. E.	49A/PTO	Application Number	09/756,036								
Substitu	& TRI	DEME	JA/IIO	Filing Date	January 5, 2001								
		SCLOSURE		First Named Inventor	Musashi SUGANO								
				Group Art Unit									
				Examiner Name									
Sheet	2	2 of 2		Attorney Docket Number	00927/LH								
OTHER PRIOR ART - NON-PATENT LITERATURE DOCUMENTS													
Examiner Initials ¹	Cite No.¹	<pre>Include name of author (in CAPITAL LETTERS), title of article, title of item, date, page(s), volume-issue number(s), publisher, city and/or country where published</pre>											
		PATENT ABSTRACTS OF JAPAN, Vol. 2000, No. 21, 3 Aug. 2001 & JP 2001 092311 A (Canon Inc.), 6 Apr. 2001.											
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Date

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 $^{^{1}}$ Unique citation designation number. 2 Place a check here if English translation is attached.